FINANCIAL PLAN CHECKLIST

Please bring any of the following documents that pertain to your situation. Photocopies will be made and the originals will be returned to you at our next meeting.

RETIREMENT PLANNING	**PROVIDED**
☐ Pension statements	☐
☐ Latest statements from IRA, 401 (k), Keogh and other qualified retirement plans	☐
☐ Social Security statements	☐
☐ Business documents (buy-sell agreements, etc.)	☐
☐ Deferred Compensation and/or Stock Option Agreement	☐
ASSET MANAGEMENT	
☐ Latest statements from brokerage accounts, mutual funds, etc.	☐
☐ Annuity policies	☐
☐ Real estate investment purchases, escrow and loan documents	☐
TAX PLANNING	
☐ Personal tax returns for the last two years	☐
☐ Business tax returns for the last two years	☐
RISK MANAGEMENT (INSURANCE)	
☐ Life insurance policies, latest statements and loan data	☐
☐ Disability insurance policies	☐
☐ Long-term care	☐
☐ Liability insurance	☐
☐ Medical insurance/Medicare supplements	☐
☐ Homeowners insurance and auto insurance policies	☐
☐ Employee Benefits booklet	☐
ESTATE PLANNING	
☐ Wills	☐
☐ Trust documents	☐
☐ Power of attorney documents, etc.	☐
☐ Other estate planning documents	☐
CASH MANAGEMENT	
☐ Checking/Savings accounts and Certificate of Deposit statements	☐
☐ Earnings pay statements	☐
☐ Mortgage statements	☐
☐ Home equity line of credit statements	☐
☐ Auto loan statements	☐
☐ Credit card statements	☐
OTHER	
☐ ____	☐
☐ ____	☐
☐ ____	☐